

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Utilization Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
	John Smith
Title	Date
Project Manager	6/5/2016

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Utilization Form**

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE¹ subcontractors² and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposal package. Prime contractors should also maintain a copy of this form on file.

Prime Contractor Name Anywhere Construction Inc		Project Name Main St Water Line Rehabilitation	
Bid/ Proposal No. 1	Assistance Agreement ID No. (if known) RF3-400	Point of Contact John Smith	
Address 123 Main St Anytown, VT			
Telephone No. 123-456-7891		Email Address johnsmith@anywhereconstruction.com	
Issuing/Funding Entity: Drinking Water State Revolving Fund			

I have identified potential DBE certified subcontractors	☒ YES	☐ NO	
If yes, please complete the table below. If no, please explain:			
Subcontractor Name/ Company Name	Company Address/ Phone/ Email	Est. Dollar Amt	Currently DBE Certified?
Downtown Pipe	10 Elm St Anytown, VT/234-567-8912/downtownpipe@gmail.com	\$ 20,000.00	Yes
Traffic Signs Inc	140 Bedford St, East Anytown VT/345-678-9123/trafficsignsinc@yahoo.com	\$ 2,000.00	Yes
Mr Mikes Construction Inc	676 Schoolview Rd, Anytown/456-789-1234/ mrmikesconstruction@yahoo.com	\$ 40,000.00	Yes
Continue on back if needed			

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from which EPA accepts certifications as described in 40 CFR 33.204-33.205 or certified by EPA. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Participation Form**

An EPA Financial Assistance Agreement Recipient must require its prime contractors to provide this form to its DBE subcontractors. This form gives a DBE¹ subcontractor² the opportunity to describe work received and/or report any concerns regarding the EPA-funded project (e.g., in areas such as termination by prime contractor, late payments, etc.). The DBE subcontractor can, as an option, complete and submit this form to the EPA DBE Coordinator at any time during the project period of performance.

Subcontractor Name Traffic Signs Inc		Project Name Main St Water Line Rehabilitation	
Bid/ Proposal No. 1	Assistance Agreement ID No. (if known) RF3-400	Point of Contact Robert Wallace	
Address 140 Bedford St, East Anytown VT			
Telephone No. 456-789-1234		Email Address trafficsigns@yahoo.com	
Prime Contractor Name Anywhere Construction Inc		Issuing/Funding Entity: Drinking Water State Revolving Fund	

Contract Item Number	Description of Work Received from the Prime Contractor Involving Construction, Services , Equipment or Supplies	Amount Received by Prime Contractor
B1.6	Provide signs for traffic detours and roadway construction	\$ 2,000.00

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Please use the space below to report any concerns regarding the above EPA-funded project:

(Include a description of any issues or concerns that arose during the contracted work being performed under the EPA funded project.)

Subcontractor Signature	Print Name
	Robert Wallace
Title	Date
President/Owner	8/10/16

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**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

I certify under penalty of perjury that the forgoing statements are true and correct. Signing this form does not signify a commitment to utilize the subcontractors above. I am aware of that in the event of a replacement of a subcontractor, I will adhere to the replacement requirements set forth in 40 CFR Part 33 Section 33.302 (c).

Prime Contractor Signature	Print Name
	John Smith
Title	Date
Project Manager	6/8/16

Subcontractor Signature	Print Name
	Robert Wallace
Title	Date
President/Owner	6/8/16

The public reporting and recordkeeping burden for this collection of information is estimated to average three (3) hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

**Disadvantaged Business Enterprise (DBE) Program
DBE Subcontractor Performance Form**

This form is intended to capture the DBE¹ subcontractor's² description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractors bid or proposal package.

Subcontractor Name Traffic Signs Inc		Project Name Main St Water Line Rehabilitation	
Bid/ Proposal No. 1	Assistance Agreement ID No. (if known) RF3-400	Point of Contact Robert Wallace	
Address 140 Bedford St, East Anytown VT			
Telephone No. 456-789-1234		Email Address trafficsigns@yahoo.com	
Prime Contractor Name Anywhere Construction Inc		Issuing/Funding Entity: Drinking Water State Revolving Fund	

Contract Item Number	Description of Work Submitted to the Prime Contractor Involving Construction, Services , Equipment or Supplies	Price of Work Submitted to the Prime Contractor
B1.6	Provide signs for traffic detours and roadway construction	\$ 2,000.00

DBE Certified By: ☒ DOT ☐ SBA ☐ Other: _____	Meets/ exceeds EPA certification standards? ☒ YES ☐ NO ☐ Unknown
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